Your Relationship with an Eagle Strategies Financial Advisor
The value of professional advice.

It is a common practice to seek out expert advice to ensure your health and longevity. As part of that practice, you regularly visit a doctor who evaluates your specific concerns as it relates to your family history, lifestyle, and age. You look to your doctor to help you sort through the misinformation you hear from the media and educate you about the best nutrition, exercise, or medical treatments to achieve health goals that make sense for you today and tomorrow.

A trusted Financial Advisor provides the same service to help ensure your financial health and longevity.

CFA Research reported
“retail investors say that having a real person to help guide them will be more important than the latest technology.”1

The Annals of Economics and Finance reported
“participants retaining the services of a financial advisor for more than 15 years have about 173% more financial assets, 2.73 times the level of assets of comparable non-advised respondents.”2

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1 CFA Research, From Trust to Loyalty: A Global Survey of What Investors Want 2016

2 Claude Montmarquette and Nathalie Viennot-Briot, Annals of Economics and Finance, 16-1, 69 (2015). This is for your general informational purposes only. The study did not specifically look at Eagle Strategies LLC or its programs/services or advisors and were general evaluations of investment advisory firms. The results are based on past results under very specific assumptions and methodologies. Past performance is no guarantee of future results. Individual results will vary. For complete information, request a free copy of these studies by visiting https://econpapers.repec.org/article/cufjournl/y_3a2015_3av_3a16_3ai_3a1_3amontmarquette.htm
Committed to taking care of you today, tomorrow, and into the next generation.

You have dreams for yourself, your family, and perhaps your business. Securing and protecting your dreams is best achieved when you work with experienced financial professionals who can guide you in planning for today’s needs, tomorrow’s goals, and the transfer of wealth to the next generation.
Eagle Advantage

Eagle Strategies is a registered investment adviser and a wholly-owned subsidiary of New York Life Insurance Company. Eagle Strategies, along with its parent company and affiliates, are dedicated to providing you with customized financial and insurance solutions designed to help you meet your unique financial goals.

As an Eagle Strategies client, you have access to the solutions and expertise of:

**Eagle Strategies**
Eagle Strategies’ 90 experienced and specialized professionals are dedicated to supporting Eagle’s Financial Advisors and their clients. Therefore, when you enter into a relationship with an Eagle Strategies Financial Advisor, you are also supported by the accomplished professionals at Eagle Strategies.

**New York Life**
New York Life is a Fortune 100 company with a 170 year history and an industry leader in life insurance, investment annuity products, and long term care insurance.

**NYLIFE Securities LLC**
NYLIFE Securities is a full service broker/dealer capable of meeting the investment style of nearly every investor from the novice to the sophisticated.
A Comprehensive Planning Approach

Your Financial Advisor, who is also a New York Life Agent and a NYLIFE Securities Registered Representative, will take a comprehensive approach to meeting your financial objectives with a focus on:

**Protection planning** – to determine if you, your family, and/or your business is properly protected from premature death, disability, or a long-term care event. Our protection products include life insurance, long-term care insurance, and non-proprietary disability insurance.

**Retirement planning** – to educate you about the importance of saving for retirement and the solutions available to help you achieve your retirement goals. Our retirement products include fixed³, variable⁴, and income⁵ annuities, non-proprietary mutual funds⁴, and Eagle investment advisory services.

**Investment planning** – to help you achieve your short or long term financial goals by customizing solutions based on your individual goals, risk tolerance, and investment time horizon. Our investment products include non-proprietary mutual funds⁴ and Eagle investment advisory services.

**Estate planning** – to discuss steps and planning documents you should consider to ensure that your family and financial goals are addressed during your life, if incapacitated, and after your death. Our estate planning offering includes life insurance, charitable programs, non-proprietary mutual funds⁴, and Eagle investment advisory services.

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³ Issued by New York Life Insurance and Annuity Corporation, a wholly owned subsidiary of New York Life.
⁴ Offered through NYLIFE Securities LLC (member FINRA/SIPC), a Licensed Insurance Agency.
⁵ Issued by New York Life Insurance Company or New York Life Insurance and Annuity Corporation.
Your relationship with an Eagle Strategies Financial Advisor

You can expect to receive well-considered guidance that will:

- be conflict free and in your best interest
- put you on a path toward helping you achieve your financial goals
- help you pivot when life changes or unexpected circumstances arise
- address your specific needs
- assist you in building a wealth transition plan

You choose the best way to collaborate

Based on your needs, you can either take a comprehensive approach that addresses your overall financial situation, or you can elect to achieve a specific goal.
Your first meeting

Your initial meeting is an important first step in achieving your financial goals. You will have the opportunity to ask questions, share your dreams, concerns, provide important insight into your current financial situation and the people and charities important to you.

Through a comprehensive discovery process, you will explore topics such as:

- protecting your family and/or business in the event of your death or disability
- what an ideal retirement means to you
- your thoughts about having investment portfolios focused on your goals versus maximizing returns
- leaving a legacy that takes care of the people and charities that mean the most to you

With a thorough understanding of your complete financial picture, the goals you have established for your future, and a collaborative series of meetings, you will build a sound yet flexible plan to help you achieve your goals.

Your ongoing relationship

Establishing a plan to help you meet your financial goals is the first step in a long, valued relationship built on regular communication and trust. Throughout the years, you will have access to a financial professional dedicated to providing you with conflict free solutions that always take into consideration your best interests.

As your life evolves and your financial goals change, you can trust that you will receive thoughtful guidance to help you meet your new objectives.

When the time comes to pass your wealth on to the next generation, you can do so knowing that you are leaving your portfolio in the hands of someone who understands your wishes and can help the next generation benefit from your hard work.
Client resources

As an Eagle Strategies client, you will have access to the following resources to support you throughout your financial journey:

- a dynamic online client portal, providing access to your account statements, real-time market value of securities, performance reporting, trade confirmations, and tax documents
- electronic delivery of account documentation
- a dedicated support line of experienced professionals who act as an additional layer of support to your Financial Advisor by assisting with questions related to your account

We are ready to work for you

In 1929, a New York Life executive wrote that we must remember “to look at time not in years merely, but in decades; even generations.”

We take that approach to helping our clients. In partnership, we will help guide you in making thoughtful decisions about how to secure and achieve your dreams and remain available to help you navigate unexpected or evolving circumstances. Ours is, first and foremost, a long-term partnership built on trust, and we look forward to working with you.
Eagle Strategies LLC (Eagle) is an indirect wholly owned subsidiary of New York Life Insurance Company and an SEC-registered investment adviser. Registration with the SEC does not imply a certain level of skill or training. Eagle investment adviser representatives (IARs) act solely in their capacity as insurance agents of New York Life, its affiliates, or other unaffiliated insurance carriers when recommending insurance products and as registered representatives when recommending securities through NYLIFE Securities LLC (member FINRA/SIPC), an affiliated registered broker-dealer and licensed insurance agency. Investment products are not guaranteed and may lose value. No tax or legal advice is provided by Eagle, its IARs or its affiliates.

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