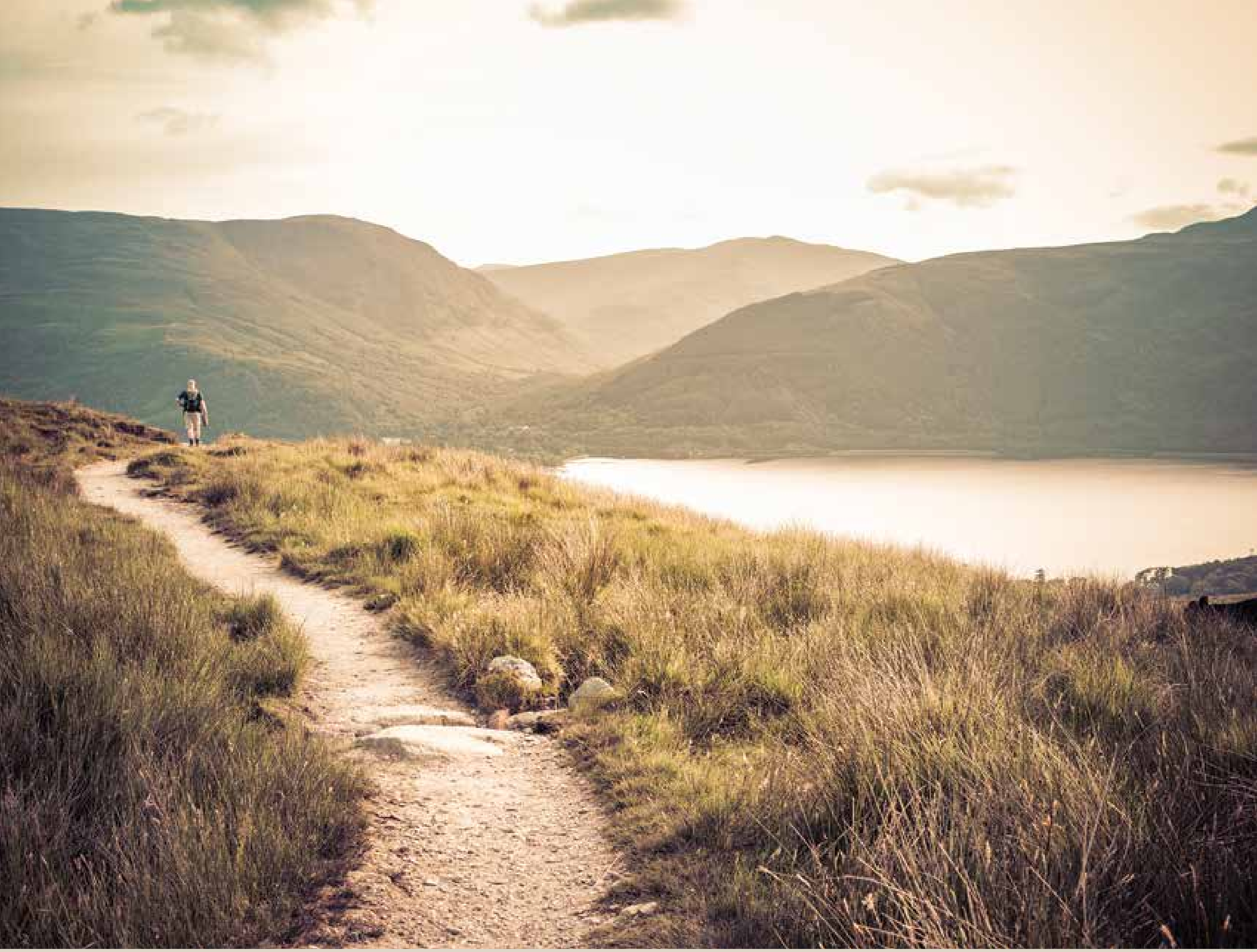


# A wealth management firm centered on our clients' individual needs.

Eagle Strategies LLC

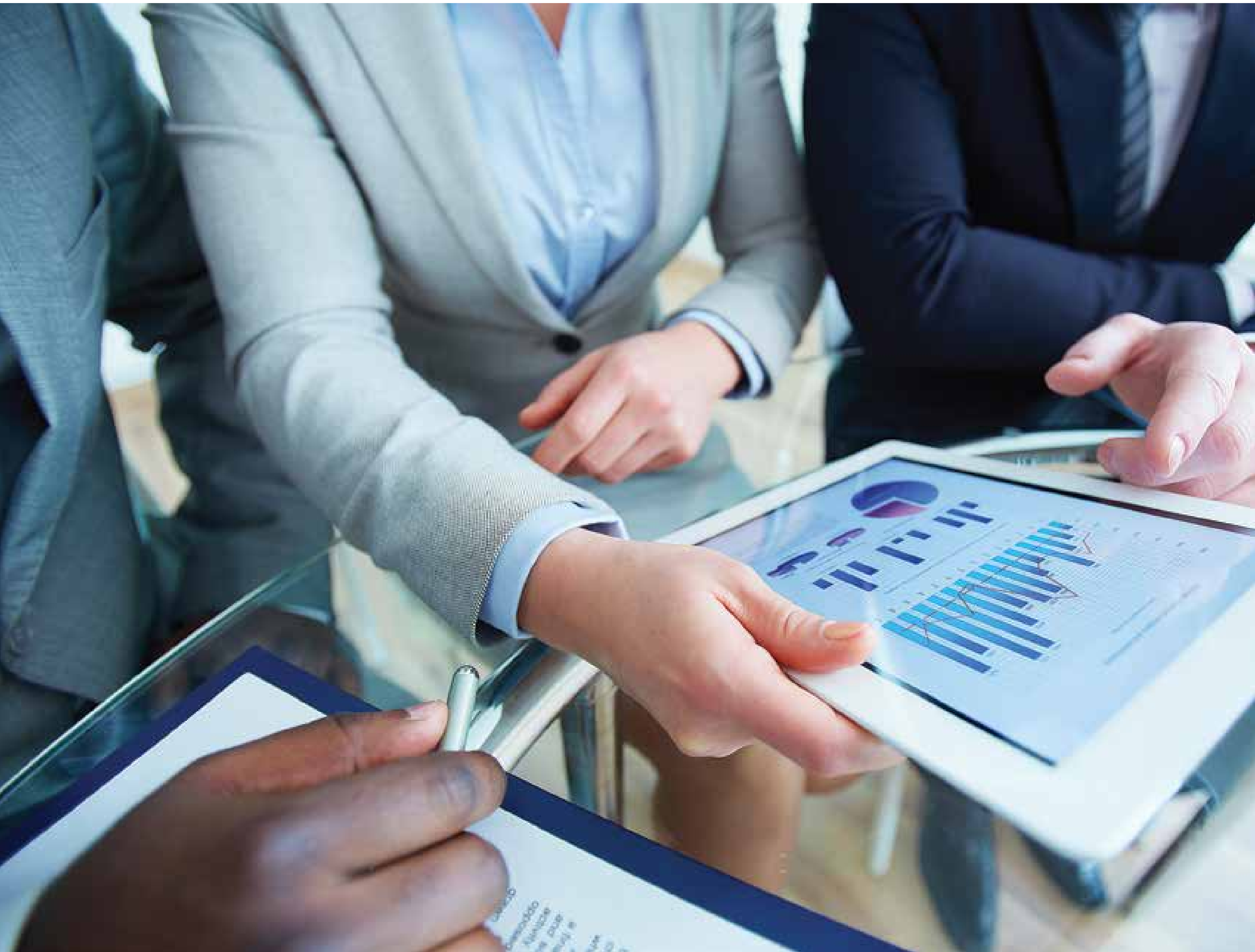
PROTECTION. RETIREMENT. INVESTMENT. ESTATE.

*Trusted Guidance. Comprehensive Solutions.*

# Why Eagle Strategies and why an Eagle Strategies Advisor?

## Our tools may be similar, but our attitude is different.

Eagle Strategies was built with a singular goal — to provide quality, risk-disciplined investment programs so our Financial Advisors can provide independent financial advice and guidance to meet your needs. We've built an investment program that's focused on maintaining a select list of investment strategies that leverage the investment capabilities and expertise of leading asset managers. This provides our Financial Advisors with sophisticated wealth management tools and strategies to continually serve your individual needs.



# Eagle Strategies at a glance:

- A Registered Investment Adviser
- Over 90 investment professionals
- Approximately $9.5 billion in assets under management*
- Headquartered in New York City

# Client relationships that last for decades.

As a wholly-owned subsidiary of New York Life, Eagle Strategies remains true to the core of our parent company. We're a firm built on the premise of protection and risk management. New York Life is mutually owned, which means it focuses on serving the long-term needs of its policyholders and not shareholders. It's one of the reasons why our typical client relationships can last decades.

*As of 12/31/2017

# A holistic wealth management approach.

## At Eagle Strategies, we understand that your goals and objectives change over time.

That's why our Financial Advisors approach wealth management as a dynamic, ongoing process that must accommodate changes in personal circumstances and in the overall marketplace. Our process is holistic, meaning it doesn't just consider one aspect of a your life, but rather, the complete picture.

More specifically, we take into account your assets along the wealth continuum; from asset accumulation, ongoing asset and risk management to transferring your assets to future generations.

It's this process that allows our Financial Advisors to fully understand your financial picture versus where you want to be in years to come.

Wealth management + Risk management + Core investment competencies = The right client solution

# Expert support backing your Financial Advisor.

## With Eagle Strategies, you can be confident that your Financial Advisor is supported by accomplished and accredited teams, positioning them to provide well-considered financial guidance and solutions that meet your ever-changing and evolving needs.

Your Advisor has access to a robust support system that provides the tools and resources needed to help you achieve your financial ambitions.

**Investment team**

Our team of investment professionals supports our Financial Advisors with expertise on positioning asset managers and strategies that align with your unique objectives. Our investment team may also review your current portfolio and share ideas about how to improve the client experience.

**Due diligence team**

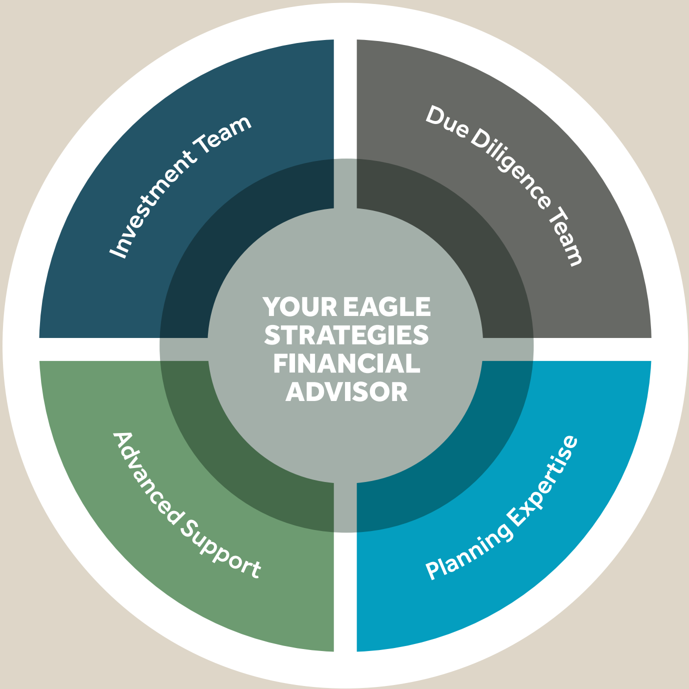
Our due diligence team seeks out new strategies to complement our existing offerings, ensuring our Financial Advisors have a robust mix of investments to choose from. The team monitors all available investment strategies with an ongoing assessment of people, process, and performance. Recommendations on individual investments to include in the mix are subject to oversight by Eagle's Investment Committee, which leverages expertise both inside and outside of our organization.

**Planning expertise***

Our Financial Advisors are called upon to assist clients in achieving various goals; everything from accumulating wealth to planning for specific goals such as college, retirement, business or legacy planning. They tap a team of Certified Financial Planners® with years of practical experience to support their financial planning needs.

**Advanced support**

If you have a complex portfolio or more complex finanicial needs, our team provides an in-depth review, including risks, asset allocation, and implementation. They may also comment on other investment holdings you own in your portfolio.




*Neither Eagle Strategies nor any of its Financial Advisors provides tax or legal advice.

# Selecting an investment program that's right for you.

Our investment programs provide our Financial Advisors with the investment tools they need to design customized solutions — solutions that are centered around your individual goals, risk tolerance, and investment time horizon.

## Fund Advisory Program

An array of asset allocation portfolios constructed and managed by leading investment managers.

## Separately Managed Accounts (SMA)

We offer a broad array of SMA strategies — typically a sleeve of investments that owns individual stocks and bonds. These strategies can be core or satellite within your portfolio.

## Rep Directed Program

Allows your Financial Advisor to build a customized portfolio from mutual funds, exchange traded funds, and listed securities.

## Unified Managed Accounts (UMA)

A single account that combines multiple programs and strategies. Within a UMA, you receive a single blended performance report.

All investments involve risk including the potential loss of principal.

# Access to leading investment managers.

Today investing has never been easier yet more complicated. There appears to be an endless list of companies and financial services available to investors. It's important to have a strategy in place to help meet your financial goals and have sound financial advice you can trust.

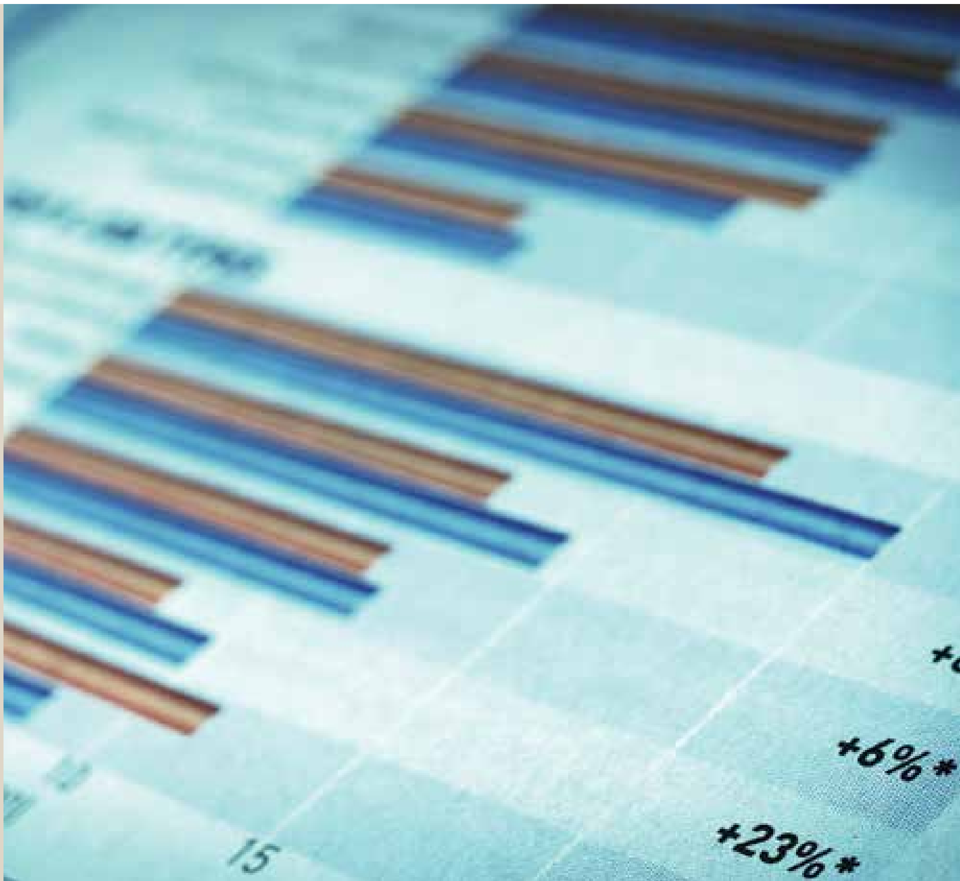
With Eagle Strategies, you can be confident your Advisor has access to leading asset managers through our investment advisory programs. We partner with non-proprietary managers that can stand up to our Investment Research and Due Diligence team's rigorous requirements. Before an asset manager is added to our program, it is thoroughly vetted and continuously monitored to ensure it meets our stringent investment criteria. Additionally, our team continually evaluates our roster of managers to ensure we offer you the breadth and depth of managers necessary to help you meet your financial goals.

Our collection of select investment managers specialize in many areas of the financial markets, including:

- **domestic equity (including large, mid, and small capitalizations)**
- **international equity (including developed and emerging markets)**
- **investment grade fixed income**
- **high yield and multi-sector fixed income**
- **diversifying strategies**

# Get started today.

To learn more about our wealth management capabilities, contact your Eagle Financial Advisor.



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